

Investment Application Form

1. Investor details - Please tick the correct box and fill in capital letters:

New investor Existing investor Existing account ID (request from account manager)

Investor Type

- Individual/Trustee/Sole Trader** (complete 1.1) **Company** (complete 1.2)
- Partnership** (complete 1.2) **Regulated trust - Including self managed super funds** (complete 1.2)
- Association - Incorporated/unincorporated** (complete 1.2) **Unregulated trusts** (complete 1.2)

1.1 Individuals / Individual Trustee / Sole Trader

Investor 1 / individual trustee 1/ sole trader

Title Given name(s)

Surname/ Family name

Date of birth DD / MM / YYYY
 / /

Investor 2/ individual trustee 2

Title Given name(s)

Surname/ Family name

Date of birth DD / MM / YYYY
 / /

1.2 All other entity types

Name of entity/organisation

Contact person

Given name(s)

Surname/ Family name

Telephone

Position title in entity

Email

1.3 Contact details

Telephone

Email

Postal address

1.4 Source of funds

- Savings** **Employment income** **Property/asset sale** **Business activity**
- Inheritance/gift** **Financial investment** **Property sale**
- Other**



2. Investment details :

Investment name - New account to be opened under the following name:

Full name

In Trust for (child's name) - optional

Product selection

- | | | |
|--|--|---|
| <input type="checkbox"/> Business Investment Plan | <input type="checkbox"/> Enhanced Cash Trust | <input type="checkbox"/> International Equities Trust |
| <input type="checkbox"/> Business Growth Portfolio | <input type="checkbox"/> HFT Equities Trust | <input type="checkbox"/> Company Expansion Fund |
| <input type="checkbox"/> HFT Equities Portfolio | | |

Investment funding - Investment will be funded via:

- | | | |
|---|-------------------------------------|--|
| <input type="checkbox"/> BTC Deposit | <input checked="" type="checkbox"/> | Request Wallet Address from HFT Admin (if need be) |
| <input type="checkbox"/> ETH Deposit | <input checked="" type="checkbox"/> | Request Wallet Address from HFT Admin (if need be) |
| <input type="checkbox"/> BCH Deposit | <input checked="" type="checkbox"/> | Request Wallet Address from HFT Admin (if need be) |
| <input type="checkbox"/> Funds transfer from existing HFT account | | |

Investment amount \$

Investment amount in words

3. Income Distributions and Redemption Instructions:

Details provided must be for a bank account with an Australian domiciled financial institution (payment to third party or foreign bank accounts is not permitted).

3.1 Income reinvestment - Please select ONE of the following:

- | |
|--|
| <input type="checkbox"/> Reinvest income |
| <input type="checkbox"/> Credit income to investor wallet - Please complete details below: |

Cryptocurrency BTC ETH BCH Others Specify

Wallet Address

3.2 Redemption Proceeds - Please select ONE of the following:

- | |
|---|
| <input type="checkbox"/> Wallet Address as above |
| <input type="checkbox"/> Alternate Wallet Address |

Cryptocurrency BTC ETH BCH Others Specify

Wallet Address



4. Employer Identification Number (EIN/FTIN) or entity reference

Collection of EIN/FTINs is authorised, and its use and disclosure are strictly regulated by tax laws and the Privacy Act. Quotation of your EIN is not compulsory and you may leave this section blank. However, tax may be taken out of your income distribution if you do not quote your EIN/FTIN or claim an exemption to which you are entitled to. For more information about the use of EIN/FTINs please contact the HFT Tax Advisor or your investment account manager

4.1 Individual investor 1 or Sole Trader EIN

OR exemption reason (select one below)

Non-resident/
Country of residence

Other - please specify

Individual investor 2 EIN

OR exemption reason (select one below)

Non-resident /
Country of residence

Other - please specify

4.2 Registered Identification Number (RN) - Optional

5. Acknowledgments

I/We agree to be bound by the Product Nondisclosure Statement (NDA), Offer Document and/or Information Memorandum (IM) for the Fund(s) (including all information incorporated by reference forming part of the NDA and/or Offer Document) and the provisions of the Constitution for the Fund(s), as amended from time to time, which govern the operation of the Fund(s).

I/We acknowledge that, upon receipt of this application, High Frequency Technology may enter into transactions for the Fund(s) in anticipation of payment of application monies, and I/we indemnify High Frequency Technology against any losses and expenses incurred by it if the application monies are not received as cleared funds by the Fund(s) in the normal course.

I/We consent to telephone conversations being recorded. Recordings may be used for quality, verification and training purposes.

I/We (including, in my/our personal capacity) agree to the collection, disclosure and use of information as contemplated in the section titled "Privacy", contained in the NDA and/or Offer Document, Incorporation by Reference document forming part of the NDA and/or Offer Document and/or the Information Memorandum or Incorporation by Reference document forming part of the NDA and/or Offer Document for the Fund(s).

I/We acknowledge it is possible at any time to opt out from receiving promotional information about services and products of High Frequency Technology and its related companies by contacting High Frequency Technology's client services centre.

I/We acknowledge that High Frequency Technology or HFT or any of its associates, related body corporates or subsidiaries guarantees the repayment of capital or the performance of the Fund(s).

I/We agree to receive confirmation of my/our investment transactions online via High Frequency Technology's website.

I/We declare that I/we have received and accepted the offer to apply to invest into the Fund(s) in the United States of America.

If this is a joint application, each investor acknowledges and agrees that investments in the Fund(s) are held as joint tenants and not as tenants in common (unless the Responsible Entity, Trustee or Issuer of the Fund(s) otherwise agrees).

I/We acknowledge that this Investment Application Form is accompanied by a current NDA, Offer Document and/or Information Memorandum for the Fund(s) which I/we have read.

I/We acknowledge that (if applicable) I/we have access to all statements and information incorporated by reference forming part of the NDA, Offer Document and/or Information Memorandum for the Fund(s). If I/we have received an Investment Application Form electronically I/we declare that it was accompanied by an electronic copy of the NDA, Offer Document and/or Information Memorandum for the Fund(s) or a printout of it, which I/we personally received.



5. Acknowledgments (continued)

I/We acknowledge and agree that: (i) HFT may at its sole discretion transact with me/us via straight through processing networks or other similar electronic messaging networks facilitating the exchange of electronic communications where HFT has notified me/us in writing prior to the availability of such service and (ii) HFT will not be liable to me/us for any loss whatsoever in connection with instructions sent by me/us via such networks which are not received by HFT and (iii) HFT may at its sole discretion cease transacting with me/us via such networks.

I/We have read and understood the information in the "Anti-Money Laundering and Counter Terrorism Financing" (AML) section of the IM, NDA or Offer Document, or Incorporation by Reference document forming part of the NDA and/or Offer Document for the Fund(s).

I/We acknowledge that the Know Your Client Form (KYC) form forms part of this Application Form.

I/We acknowledge that all information provided in this Application Form is true and correct.

I/We consent to HFT providing me/us with certain types of product disclosure (including but not limited to NDA, Offer Documents or Information Memorandum) and other types of Fund related documentation (including but not limited to annual reports) via digital disclosure (including but not limited to email and publication of documentation to HFT's website or online client portals).

I/We acknowledge that the terms and conditions set out in this Investment Application Form will govern all other investments I/we make in the Fund(s) to which this current application relates.

HFT must comply with Anti-Money Laundering (AML) Legislation, which requires us to, among other things, establish your identity. By completing this Investment Application Form, the Know Your Client (KYC) form relevant to you and providing us with information to establish your identity, you acknowledge and agree that:

- this information will be used by HFT to establish your identity for the purposes of the AML Legislation; and
- HFT will not be responsible or liable to you or any other person for any loss suffered where transactions are delayed, blocked, frozen or where HFT declines to process a transaction or ceases to provide you with a product or service in circumstances where HFT is unable to establish your identity or where HFT reasonably believes you are a Proscribed Person .

A Proscribed Person means any person or entity who HFT reasonably believes to be (i) in breach of the laws of any jurisdiction regarding economic or trade sanctions, or laws prohibiting money laundering or terrorism financing, or (ii) on a list of persons with whom dealings are proscribed by the United States laws or the laws of another recognized jurisdiction.

A Proscribed Person includes any person or entity who HFT reasonably believes to act on behalf, or for the benefit(s) of a person or entity referred to in (i) and/or (ii).

A paper copy of the NDA, Offer Document and/or Information Memorandum for the Fund(s) is available free of charge upon request by contacting the HFT Client Service Centre.

Signatory 1

Full name of signatory

Corporate title (if applicable); (Sole director/Director/Sole Secretary/Secretary/Trustee/Power of Attorney)

Signature

Date

Signatory 2

Full name of signatory

Corporate title (if applicable); (Sole director/Director/Sole Secretary/Secretary/Trustee/Power of Attorney)

Signature

Date

Company Seal

Individual investor: Where the investment is in one name, the investor must sign.

Joint investor: Where the investment is in more than one name, all investors must sign.

Corporate investor/Corporate trustee: Must sign either: (a) under seal and signed by directors; or (b) by two directors or director and company secretary; or (c) by a sole director/sole secretary (where applicable).

Authorised registered signatories: Please provide a completed signatory list attached with this form (on page 6).

Power of Attorney (POA): Please provide a certified copy of the POA and a certified copy of the attorney(s) photo identification document (driver's licence or passport) along with certification that they have not received notice of revocation of that power.



Additional Authorised Signatories

Signatory 3

Title Given name(s)

Surname/ Family name

Date of birth DD / MM / YYYY
 / /

Address (PO Box not accepted)

Suburb State Postcode

Telephone

Email address

Signature (By signing below, I acknowledge the declarations in section 5)

Date
 / /

Signatory 5

Title Given name(s)

Surname/ Family name

Date of birth DD / MM / YYYY
 / /

Address (PO Box not accepted)

Suburb State Postcode

Telephone

Email address

Signature (By signing below, I acknowledge the declarations in section 5)

Date
 / /

Signatory 4

Title Given name(s)

Surname/ Family name

Date of birth DD / MM / YYYY
 / /

Address (PO Box not accepted)

Suburb State Postcode

Telephone

Email address

Signature (By signing below, I acknowledge the declarations in section 5)

Date
 / /

Signatory 6

Title Given name(s)

Surname/ Family name

Date of birth DD / MM / YYYY
 / /

Address (PO Box not accepted)

Suburb State Postcode

Telephone

Email address

Signature (By signing below, I acknowledge the declarations in section 5)

Date
 / /

